

FORM PTO-1390  
(REV 5-93)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

RUT 98-0058

U.S. APPLICATION NO. (If known, use 37 C.F.R. 1.5)

09/674876  
Not Yet AssignedINTERNATIONAL APPLICATION NO.  
PCT/US99/09793INTERNATIONAL FILING DATE  
06 May 1999PRIORITY DATE CLAIMED  
07 May 1998

**TITLE OF INVENTION** RECOMBINANT CELL LINE AND SCREENING METHOD FOR IDENTIFYING AGENTS  
WHICH REGULATE APOPTOSIS AND TUMOR SUPPRESSION

APPLICANT(S) FOR DO/EO/US

Eileen WHITE; Gary KASOF; Lakshmi GOYAL

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☐ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

COPY OF FORM PCT/IB/308

Not Yet Assigned

INTERNATIONAL APPLICATION NO.  
PCT/US99/09793ATTORNEY'S DOCKET NUMBER  
RUT 98-005817. ☒ The following fees are submitted:

Basic National Fee (37 CFR 1.492(a)(1)-(5)):

Search Report has been prepared by the EPO or JPO.....

International preliminary examination fee paid to USPTO (37 CFR 1.482) \$690

No international preliminary examination fee paid to USPTO (37 CFR 1.482)  
but international search fee paid to USPTO (37 CFR 1.445(a)(2))..Neither international preliminary examination fee (37 CFR 1.482) nor  
international search fee (37 CFR 1.445(a)(2)) paid to USPTO.....International preliminary examination fee paid to USPTO (37 CFR 1.482)  
and all claims satisfied provisions of PCT Article 33(2)-(4).....

ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 690

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(e)). \$ 130

Claims	Number Filed	Number Extra	Rate
Total Claims	8 -20 -	0	X \$18
Independent Claims	7 -3 -	4	X \$80
Multiple dependent claims(s) (if applicable)	0	+	\$ 0

TOTAL OF ABOVE CALCULATIONS = \$ 1,140

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement  
must also be filed. (Note 37 CFR 1.9, 1.27, 1.28). \*\* \$ 570

SUBTOTAL = \$ 570

Processing fee of \$130.00 for furnishing the English translation later the ☐ 20 ☐ 30  
months from the earliest claimed priority date (37 CFR 1.492(f)). + \$ 0

TOTAL NATIONAL FEE = \$ 570

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be  
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$ 0

TOTAL FEES ENCLOSED = \$ 570

\*\*THE APPLICANT IS A SMALL ENTITY. DUE TO RULE CHANGE  
EFF. SEPT. 8, 2000, NO FORM IS REQUIRED.Amount to be:  
refunded \$  
charged \$

- a. ☒ A check in the amount of \$ 570.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \$ \_\_\_\_\_ to cover the above fees.  
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any  
overpayment to Deposit Account No. 04-1406. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR  
1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Kathleen D. Rigaut, Ph.D., J.D.  
DANN DORFMAN HERRELL & SKILLMAN  
1601 Market Street, Suite 720  
Philadelphia, PA 19103-2307


SIGNATURE

KATHLEEN D. RIGAUT

NAME

43,047

REGISTRATION NUMBER

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COMMISSIONER OF PATENTS  
AND TRADEMARKS  
Washington, DC 20231

BOX PCT

Date of Mailing  
November 7, 2000

Applicant's or Agent's Reference  
RUT 98-0058

**IDENTIFICATION OF THE INTERNATIONAL APPLICATION**

Int'l. Appln. No.  
PCT/US99/09793

Int'l. Filing Date  
06 May 1999

Applicant (name)  
RUTGERS, THE STATE UNIVERSITY OF NEW JERSEY

**TRANSMITTAL OF LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)**

**CERTIFICATE OF MAILING  
BY EXPRESS MAIL UNDER 37 CFR §1.10**

NUMBER OF EXPRESS MAIL MAILING LABEL EL182473206US  
DATE OF DEPOSIT WITH POSTAL SERVICE November 7, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. §1.10 on the date indicated above, and is addressed to the COMMISSIONER OF PATENTS AND TRADEMARKS, Washington, D.C. 20231.

Janice M. Nightlinger  
Typed Name of Person  
Mailing Paper or Fee

Janice M. Nightlinger  
Signature of Person Mailing  
Paper or Fee